

Results-Based Monitoring Summary of Monitoring Result Types

Results Review Summary

LEAs that completed **Results-Based Monitoring** receive *Monitoring Results* at the conclusion of a scheduled compliance review. LEAs completing Level 3 or 2 monitoring participate in a virtual conversation to discuss the *Monitoring Results*. All LEAs receive access to *Monitoring Results* through a status change in ePlan. The *Monitoring Results* can contain the following: **Exceeded Requirements, Met Requirements, Improvement Recommended, Corrections Needed, and Findings of Non-Compliance**. LEAs may also have areas marked **Not Applicable**.

Exceeded Requirements

EXCEEDED REQUIREMENTS	
Summary:	Highlights documented and tracked evidence-based practices which exceed requirements. There are no actions required if this result type is displayed.

Met Requirements

MET REQUIREMENTS	
Summary:	Confirms there are no compliance concerns given the documentation provided (and conversations held). There are no actions required if this result type is displayed.

Improvement Recommended

IMPROVEMENT RECOMMENDED	
Summary:	Provides a description of non-regulatory guidance or state board policies to address and improve student outcomes. There are no actions required with this result type.

Corrections Needed

CORRECTIONS NEEDED	
Summary:	Provides a description of Tennessee Code Annotated (T.C.A.) or TN State Board of Education Rules reviewed through Results-Based Monitoring where compliance could not be determined given the documentation reviewed.
Action(s) Required:	Lists each action step the LEA must take in sequential order.
Documentation:	Lists documentation the LEA must upload to prove action steps were completed.
Deadline:	Lists the date by which the LEA must complete actions and provide documentation.

Findings of Non-Compliance

FINDING OF NON-COMPLIANCE	
Summary:	Provides a description of federal laws reviewed through Results-Based Monitoring where compliance could not be determined given the documentation reviewed and discussions held.
Action(s) Required:	Lists each action step the LEA must take in sequential order.
Documentation:	Lists documentation the LEA must upload to prove action steps were completed.
Deadline:	Lists the date by which the LEA must complete actions and provide documentation.


Not Applicable

LEAs do not receive a result, and the summary page displays five gray/blank dots to show the area was not applicable during the LEAs monitoring review.

LEA-Level Access and Actions Summary

After the department changes the status of a monitoring instrument to ***TDOE Monitoring Results Director Reviewed***, a staff member at the LEA with access must log into ePlan and return to the appropriate Results-Based Monitoring instrument. At the top of the Sections page, an individual with access at the LEA (hover over status change to show who can change) must change the status to ***LEA Results Review Started***.

Once the status has changed, the LEA has access to the *Monitoring Results*. At the bottom of the Sections page, the LEA must use the Monitoring Results – LEA Response page to respond to *Monitoring Results*.

A pencil icon () is located next to each documentation item required for upload.

- To upload an item, click on the pencil, then choose the file to upload.
- Twice weekly, the department receives a notification if the LEA uploads an item.
- The assigned divisional coordinators review applicable items to mark them as “Yes” Approved or “No” Not Approved.
 - If yes, there are no additional steps for the LEA to take.
 - If no, the reviewer leaves a comment within the instrument to describe what adjustments to make so that the LEA may reupload the file for an additional review.
- Once all items are marked as “Yes” Approved, the divisional coordinator requests that your monitoring status be changed to Closed.
- LEAs with all items marked as “Yes” Approved will be notified via email that the status of the Results-Based Monitoring instrument in ePlan has been changed to ***Monitoring Closed***.

If the pencil does not appear for an individual, it is likely that an additional user access role is needed. For access, please complete an [ePlan User Access Form for LEAs](#) to request one or more of the following applicable roles:

- Results-Based Monitoring Roles:
 - LEA ESSA Monitoring Director
 - LEA IDEA Monitoring Director
 - LEA Perkins Monitoring Director
 - LEA ESSER Monitoring Director
 - LEA Fiscal Monitoring Director
 - LEA Results-Based Monitoring Data Entry
- Other Monitoring Roles
 - LEA CCEIS Monitoring Director
 - LEA CEIS Monitoring Director
 - LEA Focus Monitoring Director